

BEFORE THE HEARING BOARD
OF THE
ILLINOIS ATTORNEY REGISTRATION
AND
DISCIPLINARY COMMISSION

In the Matter of:

KHA NGUYEN,

Attorney-Respondent,

No. 6315605.

Comm. No. 2025PR00077

ANSWER

NOW COMES Respondent, Kha Nguyen, by and through his attorney, James A. Doppke, Jr., Robinson, Stewart, Montgomery & Doppke, LLC, admitting the accuracy of his date of licensure in Illinois as alleged in the prefatory paragraph of the Administrator's Complaint but denying all other allegations of that paragraph, and for his answer to the Administrator's Complaint in this matter, states as follows:

(Allegedly Knowingly Making Material Misrepresentations to the Court)

1. At all times alleged in this complaint, Respondent practiced law at a firm in Chicago which was called Allied Law Counsel, where Respondent practiced primarily in the area of taxation, immigration, and criminal defense.

ANSWER: Respondent admits the allegations contained in paragraph 1.

2. Between September 2021 and August 2023, Respondent represented an individual with the initials L.N. and L.N.'s business, LT & LE Holdings Limited LLC, who were the respondents in a private arbitration matter arising from a breach of contract dispute. The arbitration was adjudicated by ADR Systems Arbitrations. On August 30,

FILED
1/23/2026 10:24 AM
ARDC Clerk

2023, a Final Arbitration Award totaling approximately \$291,949.20 was entered in favor of the plaintiff, Bubble ABA LLC (“Bubble”) by the arbitrator at ADR Systems Arbitration.

ANSWER: Respondent admits the allegations contained in paragraph 2.

3. On or about September 7, 2023, Bubble filed a complaint against L.N., LT & LE Holdings Limited LLC (“LT & LE Holdings”), in the Twenty Second Judicial Circuit Court of McHenry County to confirm the August 30, 2023 final arbitration award and enter judgment in favor of Bubble (“McHenry Case”). The complaint in the McHenry Case also alleged, in part, that L.N.’s wife, A.N., had been involved with the fraudulent transfer of certain property in violation of section 160/5(a)(1) of the Uniform Fraudulent Transfer Act.

ANSWER: Respondent admits the allegations contained in paragraph 3.

4. On September 27, 2023, the complaint filed by Bubble was up for initial presentment before the Honorable David Gervais. Respondent appeared on September 27, 2023, because he had been retained to represent A.N. with the goal of getting her dismissed as a defendant from the McHenry Case.

ANSWER: Respondent admits the allegations contained in paragraph 4.

5. When Respondent appeared before Judge Gervais in the McHenry Case for the presentment of Bubble’s complaint, Respondent stated that he was appearing “on behalf of [L.N.] and the defendants.” Respondent also told the Court that he, on behalf of L.N. and his company, LT & LE Holdings, was not objecting to entry of judgment against L.N. and L.N.’s company in favor of Bubble.

ANSWER: Respondent admits the allegations contained in the first sentence of paragraph 5. Respondent admits the allegations contained in the second sentence of paragraph 5, except the allegation that Respondent was speaking “on behalf of L.N. and his company, LT & LE Holdings,” which allegation Respondent denies.

6. Respondent’s statement to the court that he represented L.N. and LT & LE Holdings, as described in paragraph 5, above, was false because Respondent knew that he had not been retained by L.N. or LE & LT Holdings in connection with the McHenry Case nor was he authorized to appear on behalf of either L.N. or LE & LT Holdings on September 27, 2023, and consent to the entry of judgment against them.

ANSWER: Respondent admits that he had not been retained by L.N. or LT & LE Holdings in connection with the McHenry Case and that he was not authorized to appear on behalf of either L.N. or LE & LT Holdings on September 27, 2023 or to consent to the entry of judgment against them. Respondent denies any remaining allegations contained in paragraph 6, including, but not limited to, any allegation to the effect that he intentionally made any false statements.

7. Respondent knew that his statement that he was appearing “on behalf of [L.N.] and the defendants” was false because prior to September 27, 2023, Respondent and L.N. had at least one conversation wherein it was expressly agreed that Respondent would not represent L.N. in the McHenry Case.

ANSWER: Respondent admits that it was incorrect to state that he was appearing “on behalf of [L.N.] and the defendants.” Respondent admits that he and L.N.

had agreed that he would not represent L.N. in the McHenry Case. Respondent denies any remaining allegations and legal conclusions contained in paragraph 7.

8. On October 2, 2023, the Court, in reliance on Respondent's September 27, 2023, statement granted Bubble's motion to confirm the arbitration award and entered judgment against L.N. and LT & LE Holdings.

ANSWER: Respondent admits that the Court in the McHenry Case granted Bubble's motion to confirm the arbitration award and entered judgment against L.N. and LT & LE Holdings on October 2, 2023. Respondent lacks sufficient knowledge to admit or deny any remaining allegations contained in paragraph 8.

9. On November 1, 2023, Respondent filed his appearance in the McHenry Case on behalf of A.N.

ANSWER: Respondent admits the allegations contained in paragraph 9.

10. On July 2, 2024, an agreed final judgment order was entered which resolved the pending claims in the McHenry Case as it related to A.N.

ANSWER: Respondent admits the allegations contained in paragraph 10.

11. On August 19, 2024, Kevin Bruning of Bruning & Associates, P.C. filed an appearance on behalf of L.N. in the McHenry Case. On or about August 20, 2024, Bruning & Associates, P.C. filed a motion to vacate the October 2, 2023, judgment against L.N. ("Motion to Vacate"), as described in paragraph 8, above.

ANSWER: Respondent admits the allegations contained in paragraph 11.

12. Attached to the Motion to Vacate was an affidavit executed by the Respondent. The affidavit stated, in pertinent part,

4. I am the attorney that represented [L.N.] in the arbitration proceedings before Hon. Brigid McGrath in the ADR Systems File #53412CAMG.
5. That my representation of [L.N.] terminated upon the entry of the Final Arbitration Award on August 30, 2023.
6. That I never intended to represent [L.N.], nor did I represent [L.N.] in the matter Bubble ABA LLC vs. LT & LE Holdings Limited LLC, et al., McHenry County Case 23 CH 56 (McHenry Case).
7. I informed [L.N.] that I would not represent him in the McHenry Case.
8. I advised Plaintiff's attorney...prior to September 27, 2023, that [L.N.] had not retained me to represent him in the McHenry Case.

13. That I never agreed to nor intended to represent [L.N.] in the McHenry Case.
14. That on September 27, 2023, at the hearing for the presentment of Plaintiff's Motion to Confirm Arbitration Award and Enter Judgment, I attended the hearing on behalf of [A.N.]. I did not and was not authorized to attend the hearing on behalf of [L.N.] My statements at the hearing indicating that I represented the defendant, LE & LT Holdings, and [L.N.] were made by mistake and were not an accurate or authorized representation on behalf of LE & LT Holdings, and [L.N.]

ANSWER: Respondent admits that he created an Affidavit which was attached to the Motion to Vacate referred to in paragraph 11 of the Administrator's Complaint. Respondent admits that the affidavit contained the text reproduced in paragraph 12. Respondent denies that paragraph 12 contains the full text of the affidavit. Respondent denies any remaining allegations contained in paragraph 12.

13. On October 9, 2024, the Court entered a Memorandum Decision and Order denying L.N.'s motion to vacate. In the Memorandum Decision and Order, the Hon. [sic] Gervais found Respondent's "affidavit in general and specifically his claim that his appearance on behalf of [L.N.] at the September 27, 2023 court proceeding was a 'mistake' to be utterly without any credibility."

ANSWER: Respondent admits the allegations contained in the first sentence of paragraph 13. Respondent admits that Judge Gervais' Memorandum Decision and Order contains the text reproduced in paragraph 13, but he denies that paragraph 13 contains the full text of the Memorandum Decision and Order. Respondent denies any remaining factual allegations and legal conclusions contained in paragraph 13.

14. By reason of the conduct described above, Respondent has engaged in the following misconduct:

- a. knowingly making a false statement of law or fact to a tribunal, by conduct including representing to Judge David Gervais of the Twenty Second Judicial Circuit Court of McHenry County that Respondent was authorized to appear on behalf of L.N. and LT & LE Holdings and consent to entry of judgment against them in favor of Bubble on September 27, 2023, in violation of Rule 3.3(a)(1) of the Illinois Rules of Professional Conduct (2010);
- b. conduct involving dishonesty, fraud, deceit or misrepresentation, by misrepresenting to Judge David Gervais of the Twenty Second Judicial Circuit Court of McHenry County Respondent's authority on behalf of L.N. and LT & LE Holdings in violation of Rule 8.4(c) of the Illinois Rules of Professional Conduct (2010); and

- c. engaging in conduct that is prejudicial to the administration of justice, by conduct including but not limited to making false, material misrepresentations on which Judge David Gervais of the Twenty Second Judicial Circuit Court of McHenry County relied in entering judgment in favor of Bubble in the McHenry Case which caused or resulted in additional litigation, expenditure of unnecessary court resources, and plaintiff to incur additional unnecessary expenses in the McHenry case, in violation of Rule 8.4(d) of the Illinois Rules of Professional Conduct (2010).

ANSWER: Respondent denies the allegations and legal conclusions contained in paragraph 14.

RESPONDENT'S DISCLOSURE PURSUANT TO COMMISSION RULE 231

1. Respondent was admitted to practice law in the State of Illinois on May 1, 2014.
2. Respondent was admitted to practice law in the United States District Court for the Northern District of Illinois on July 19, 2016.
3. Respondent has not been issued any professional licenses other than his license to practice law.

Respectfully submitted,

/s/ James A. Doppke, Jr.

BY: James A. Doppke, Jr.

Counsel for Respondent

James A. Doppke, Jr.
Robinson, Stewart, Montgomery, & Doppke LLC
159 N. Sangamon Street, #327A
Chicago, IL 60607
(312) 676-9878
jdoppke@rsmdlaw.com

BEFORE THE HEARING BOARD
OF THE
ILLINOIS ATTORNEY REGISTRATION
AND
DISCIPLINARY COMMISSION

In the Matter of:

KHA NGUYEN,

Attorney-Respondent,

No. 6315605.

Comm. No. 2025PR00077

AFFIDAVIT PURSUANT TO 735 ILCS 5/2-610(b)

Kha Nguyen, an attorney, under penalties as provided by law pursuant to the Illinois Code of Civil Procedure, 735 ILCS 5/1-109, on oath deposes and states:

1. That he is the Respondent in this matter.
2. That this answer contains certain statements of insufficient knowledge on which to base a belief as to the truth or falsity of the allegations contained in the complaint.
3. That those allegations of insufficient knowledge are true and correct.

FURTHER AFFIANT SAYETH NAUGHT.

/s/ Kha Nguyen
Respondent

BEFORE THE HEARING BOARD
OF THE
ILLINOIS ATTORNEY REGISTRATION
AND
DISCIPLINARY COMMISSION

In the Matter of:

KHA NGUYEN,

Attorney-Respondent,

No. 6315605.

Comm. No. 2025PR00077

NOTICE OF FILING

TO: Morgan B. Handwerker
mhandwerker@iardc.org

ARDC eService
ARDCeService@iardc.org

PLEASE TAKE NOTICE that on January 23, 2026, I filed the attached Answer with the Clerk of the Attorney Registration and Disciplinary Commission, copies of which are hereby served upon you.

/s/ James A. Doppke, Jr.

BY: James A. Doppke, Jr.
Counsel for Respondent

PROOF OF SERVICE

The undersigned attorney hereby certifies that he served the above Notice of Filing, and the attached Answer, by emailing them to the email addresses listed above on January 23, 2026, before 11:59 p.m.

/s/ James A. Doppke, Jr.

James A. Doppke, Jr.

James A. Doppke, Jr.
Robinson, Stewart, Montgomery & Doppke
159 N. Sangamon Street, #327A
Chicago, IL 60607
(312) 676-9878
jdoppke@rsmdlaw.com

FILED
1/23/2026 10:24 AM
ARDC Clerk